

# Non-conforming sliding interfaces in 3D Finite Element analysis of electrical machines with motion

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**Abstract**—A mapping between the outer surface of the rotor and the inner surface of the stator is a convenient mathematical representation of the relative stator-rotor motion in 2D and 3D electrical machine models. Lagrange multipliers are then used to restore continuity in the weak sense across this non-conforming interface. By choosing biorthogonal nodal shape functions for the Lagrange multipliers in 2D problems, a saddle-point problem can be avoided, i.e. the positive definiteness of the system matrix is preserved. This paper generalizes this result to the nonlinear 3D magnetic vector potential formulation. A class of biorthogonal edge-based shape functions is constructed and implemented resulting in a stable discretization method without remeshing for eddy current 3D problems with motion.

## I. INTRODUCTION

STATIC and transient analyses of electrical machines require a flexible variation of the rotor position in the model. An early adopted approach is the moving band (MB) technique [3] whose principle is to re-generate at each time-step a single layer of conforming finite elements in a thin annulus-shaped region of the air gap. However, in practice, air gap re-meshing can be done automatically for 2D rotating machines only. For linear motion in 2D and motion in 3D models, air gap re-meshing would imply invoking a full-fledged automatic mesh generator at each time-step, which is impractical. An hybrid approach by coupling FEM and BEM is chosen within [5] requiring a non CG-solver for a stable solution. The mortar element method (MEM) was proposed in [7] and applied to a 2D machine problem in [1]. The Lagrange multiplier (LM) method has been extensively investigated in [2]. Both MEM and LM can be extended to 3D problems, but the MEM requires an additional integration mesh [8], and for the LM the conditioning worsens significantly [4]. Recently, biorthogonal basis functions for the Lagrange operator known from mechanical stress analysis [9] have been successfully adopted to a 2D quasi-static magnetic vector potential formulation [6] preserving the symmetry and positive definiteness of the equation system. An extension to 3D problems is described in this paper.

## II. VARIATIONAL FORMULATION

Let  $\Omega^m$  and  $\Omega^s$  be the master and the slave domain respectively, e.g. the stator and rotor of an electric machine. Let  $\Gamma^m \subset \partial\Omega^m$  and  $\Gamma^s \subset \partial\Omega^s$  be the sliding interface between the master and the slave domain and  $p : \Gamma^s \rightarrow \Gamma^m$

be a smooth mapping that may account for a relative motion between the stator and the rotor.

Assuming for the sake of simplicity homogeneous Dirichlet boundary conditions on  $\partial\Omega^m \setminus \Gamma^m \cup \partial\Omega^s \setminus \Gamma^s$  (Neumann boundary conditions would be treated in the classical way), the variational calculus applied to the energy balance of the system leads to the weak formulation, i.e. the equation

$$\sum_{k=m,s} \int_{\Omega^k} \left( \mathbf{H}^k \operatorname{curl} \delta \mathbf{A}^k - \mathbf{J}^k \delta \mathbf{A}^k \right) d\Omega^k + \int_{\Gamma^s} \{ \delta \lambda (\mathbf{A}^s - \mathbf{A}^m \circ p) + \lambda (\delta \mathbf{A}^s - \delta \mathbf{A}^m \circ p) \} d\Gamma^s = 0, \quad (1)$$

which must be verified for arbitrary variations  $\delta \mathbf{A}^k$  and  $\delta \lambda$  of the magnetic vector potential  $\mathbf{A}^k$  on the domain  $k = m, s$  and of the Lagrange multiplier  $\lambda$  that fulfill the boundary conditions. The magnetic field is described by  $\mathbf{H}^k$  and the current density is given by  $\mathbf{J}^k$ .

## III. DISCRETIZATION

Following the usual discretization approach, the magnetic vector potential and the Lagrange multiplier are approximated by

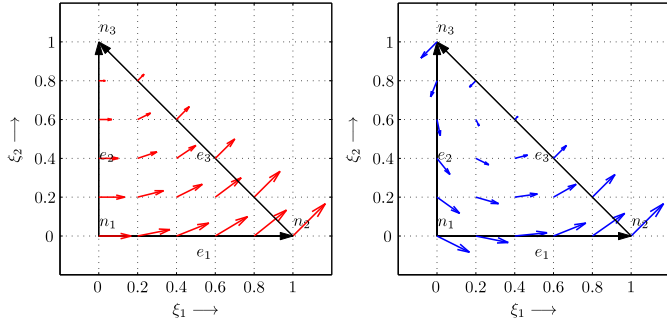
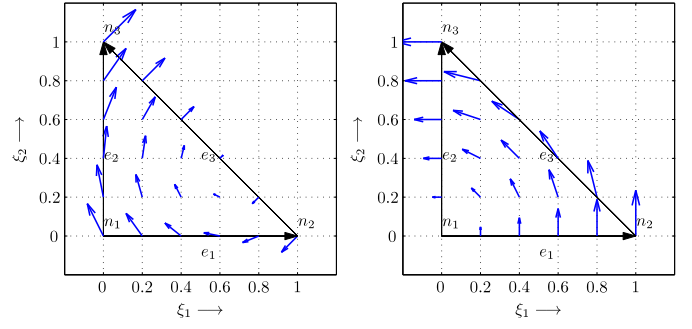
$$\mathbf{A}^k = \sum_l A_l^k \boldsymbol{\alpha}_l^k, \quad \delta \mathbf{A}^k = \{ \boldsymbol{\alpha}_l^k \}, \quad (2)$$

$$\lambda = \sum_j \lambda_j \boldsymbol{\mu}_j, \quad \delta \lambda = \{ \boldsymbol{\mu}_j \}, \quad (3)$$

with the functional spaces being spanned by the edge shape functions  $\boldsymbol{\alpha}_l^k$  and  $\boldsymbol{\mu}_j$ . From the weak formulation (1) one obtains the saddle point problem:

$$\begin{pmatrix} \mathbf{S}_{i,i}^m & \mathbf{S}_{i,\Gamma}^m & 0 & 0 & 0 \\ \mathbf{S}_{\Gamma,i}^m & \mathbf{S}_{\Gamma,\Gamma}^m & 0 & 0 & -\mathbf{M}^T \\ 0 & 0 & \mathbf{S}_{\Gamma,\Gamma}^s & \mathbf{S}_{\Gamma,i}^s & \mathbf{D}^T \\ 0 & 0 & \mathbf{S}_{i,\Gamma}^s & \mathbf{S}_{i,i}^s & 0 \\ 0 & -\mathbf{M} & \mathbf{D} & 0 & 0 \end{pmatrix} \begin{pmatrix} \mathbf{A}_i^m \\ \mathbf{A}_\Gamma^m \\ \mathbf{A}_\Gamma^s \\ \mathbf{A}_i^s \\ \lambda \end{pmatrix} = \begin{pmatrix} \mathbf{b}^m \\ 0 \\ 0 \\ \mathbf{b}^s \\ 0 \end{pmatrix}. \quad (4)$$

Within (4) the standard stiffness matrix is denoted by  $\mathbf{S}^k$ . The circulations of the vector potential along edges belonging to the surface  $\Gamma$  are noted  $\mathbf{A}_\Gamma^k$ , whereas those along internal edges


 Fig. 1. Standard shape function  $\alpha_1$ . Fig. 2. Biorthog. shape function  $\mu_1$ .

 Fig. 3. Biorthog. shape function  $\mu_2$ . Fig. 4. Biorthog. shape function  $\mu_3$ .

are noted  $\mathbf{A}_i^k, k = m, s$ . The coupling matrices in (4) are constructed according to:

$$D_{jl} = \int_{\Gamma^s} \mu_j \alpha_l^s d\Gamma^s, \quad (5)$$

$$M_{jl} = \int_{\Gamma^s} \mu_j \alpha_l^m \circ p d\Gamma^s. \quad (6)$$

The last line of (4) can be re-written

$$\mathbf{A}_\Gamma^s = \mathbf{Q} \mathbf{A}_\Gamma^m \quad \text{with} \quad \mathbf{Q} =: \mathbf{D}^{-1} \mathbf{M} \equiv (\mathbf{M}^T \mathbf{D}^{-T})^T \quad (7)$$

so that the Lagrange multiplier  $\lambda$  can be eliminated:

$$\begin{pmatrix} \mathbf{S}_{i,i}^m & \mathbf{S}_{i,\Gamma}^m & 0 \\ \mathbf{S}_{\Gamma,i}^m & \mathbf{S}_{\Gamma,\Gamma}^m + \mathbf{Q}^T \mathbf{S}_{\Gamma,\Gamma}^s \mathbf{Q} & \mathbf{Q}^T \mathbf{S}_{\Gamma,i}^s \\ 0 & \mathbf{S}_{i,\Gamma}^s \mathbf{Q} & \mathbf{S}_{i,i}^s \end{pmatrix} \begin{pmatrix} \mathbf{A}^m \\ \mathbf{A}_\Gamma^m \\ \mathbf{A}^s \end{pmatrix} = \begin{pmatrix} \mathbf{b}^m \\ 0 \\ \mathbf{b}^s \end{pmatrix}. \quad (8)$$

The reduced system matrix is symmetric and positive definite. However, according to the properties of  $\mathbf{D}$ , the inversion in (7) can be a computationally expensive or not.

#### IV. BIORTHOGONAL SHAPE FUNCTIONS

Each time the mapping  $p$  changes, the inversion of  $\mathbf{D}$  must be performed. A diagonalization of  $\mathbf{D}$  allows for an inversion during the element-wise assembly of the system matrix and can be achieved by choosing the basis functions  $\mu$  of  $\lambda$  in a dual function space, similar to [9] and extended to edge based shape functions, yielding a biorthogonality condition:

$$D_{jl} = \int_{\Gamma^s} \mu_j \alpha_l^s d\Gamma^s = \delta_{jl} \int_{\Gamma^s} |\alpha_l^s| d\Gamma^s, \quad \delta_{jl} = \begin{cases} 1, & \text{if } j = l \\ 0, & \text{if } j \neq l. \end{cases} \quad (9)$$

The edge shape functions  $\varphi_l = \{\alpha_l, \mu_l\}$  of the edge  $e_l$  between the vertices  $n_r$  and  $n_s$  are constructed according to the standard approach

$$\varphi_l = \varphi_r \text{grad} \varphi_s - \varphi_s \text{grad} \varphi_r \quad (10)$$

with the nodal shape function  $\varphi_g = \{\alpha_g, \mu_g\}$  of vertex  $n_g$ . Here,  $\alpha_g$  are the standard nodal shape function whereas (9) yields a system of equations for the polynomial coefficients of the nodal shape functions  $\mu_g$ :

$$\begin{pmatrix} \mu_1 \\ \mu_2 \\ \mu_3 \end{pmatrix} = \begin{pmatrix} -a & -a \\ b & -\frac{b}{2} \\ -\frac{b}{2} & b \end{pmatrix} \begin{pmatrix} \xi_1 \\ \xi_2 \end{pmatrix} + \begin{pmatrix} a \\ 0 \\ 0 \end{pmatrix}, \quad (11)$$

$$a := 1.040264466, \quad b := 1.471156117.$$

The biorthogonal edge shape functions  $\mu_l$  are then built by (10). The standard edge based shape function  $\alpha_1$  of the reference triangle in barycentric coordinates  $\xi_1$  and  $\xi_2$  is shown in Fig. 1 and the corresponding biorthogonal shape function  $\mu_1$  is depicted in Fig. 2. For the sake of completeness the biorthogonal edge shape functions  $\mu_2$  and  $\mu_3$  are shown in Fig. 3 and Fig. 4 respectively.

#### V. DISCUSSION AND CONCLUSIONS

The proposed biorthogonal edge shape functions ensure the continuity of the magnetic vector potential in the weak sense across a non-conforming interface i.e. the sliding interface between stator and rotor in the 3D FE analysis of e.g. electric machines. Furthermore, this approach allows for a consistent implementation in 2D and 3D, both for translational and rotational motion modelling. Numerical stability is preserved thanks to the symmetry and the positive definiteness of the resulting system matrix. A detailed elaboration of the proposed approach along with the application to TEAM-problems and a rotating machine will be given in the full paper.

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